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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27 (c)-SMALL BUSINESS CONCERN

Docket Number (Optional)
WT-11

Applicant or Patentee: Charles Eric Hunter et al.

*>Application< or Patent No.: 09/684,442

Filed or Issued: October 6, 2000

Title: MUSIC DISTRIBUTION SYSTEMS



I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN World Theatre, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 900 Perimeter Park Drive

Suite E

Morrisville, NC 27560

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as a small business concern under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization having any rights in the invention listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kelly C. Sparks

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 900 Perimeter Park Drive, Suite E, Morrisville, NC 27560

SIGNATURE Kelly C. Sparks DATE 2-9-01

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/385,671</u>	<u>August 27, 1999</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
		(pat./pending/aban.)

<u>09/436,281</u>	<u>November 8, 1999</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
		(pat./pending/aban.)

<u>09/476,078</u>	<u>December 30, 1999</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
		(pat./pending/aban.)

<u>09/487,978</u>	<u>January 20, 2000</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
		(pat./pending/aban.)

<u>09/493,854</u>	<u>January 28, 2000</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
		(pat./pending/aban.)

<u>09/502,069</u>	<u>February 10, 2000</u>	<u>Pending</u>
(Appln. Serial No.)	(Filing Date)	(Status)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(Appln. Serial No.)

(Filing Date)

(Appln. Serial No.)

(Filing Date)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Richard S. Faust
Registration No. 27,544

Send correspondence to:

Richard S. Faust
8384 Six Forks Road
Suite 204
Raleigh, North Carolina 27615

Direct telephone calls to:

PHONE (919) 846-4900
FAX (919) 846-9543

Full name of sole or first inventor: Charles Eric Hunter

Inventor's
Signature: ✓ Charles Eric Hunter Date: 12/12/01
Residence: Hilton Head Island, Beaufort County, South Carolina
Citizenship: USA
Post Office Address: 7 Grey Widgeon
Hilton Head Island, South Carolina 29928

Full name of second joint inventor: Bernard L. Ballou, Jr.

Inventor's
Signature: _____ Date: _____
Residence: Raleigh, Wake County, North Carolina
Citizenship: USA
Post Office Address: 11404 Blackbrook Court
Raleigh, North Carolina 27614

Full name of third joint inventor: Kelly C. Sparks

Inventor's
Signature: _____ Date: _____
Residence: Raleigh, Wake County, North Carolina
Citizenship: USA
Post Office Address: 900 Perimeter Park Drive, Suite E
Morrisville, North Carolina 27560

Full name of fourth joint inventor: John H. Hebrank

Inventor's
Signature: _____ Date: _____
Residence: Durham, Durham County, North Carolina
Citizenship: USA
Post Office Address: 216 Jefferson Drive
Durham, North Carolina 27712

#3



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. WT-11

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MUSIC DISTRIBUTION SYSTEMS, the specification of which (check one)

[] is attached hereto.
[X] was filed on October 6, 2000 as
Application Serial No. 09/684,442
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[] Yes	[] No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[] Yes	[] No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	[] Yes	[] No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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<u>09/502,069</u>	<u>February 10, 2000</u>	<u>Pending</u>
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PHONE (919) 846-4900
FAX (919) 846-9543

Full name of sole or first inventor: Charles Eric Hunter

Inventor's
Signature: _____ Date: _____
Residence: Hilton Head Island, Beaufort County, South Carolina
Citizenship: USA
Post Office Address: 7 Grey Widgeon
Hilton Head Island, South Carolina 29928

Full name of second joint inventor: Bernard L. Ballou, Jr.

Inventor's
Signature: Bernard L. Ballou Jr Date: 2/7/01
Residence: Raleigh, Wake County, North Carolina
Citizenship: USA
Post Office Address: 11404 Blackbrook Court
Raleigh, North Carolina 27614

Full name of third joint inventor: Kelly C. Sparks

Inventor's
Signature: Kelly C. Sparks Date: 2-9-01
Residence: Raleigh, Wake County, North Carolina
Citizenship: USA
Post Office Address: 900 Perimeter Park Drive, Suite E
Morrisville, North Carolina 27560

Full name of fourth joint inventor: John H. Hebrank

Inventor's
Signature: John H. Hebrank Date: 2/13/01
Residence: Durham, Durham County, North Carolina
Citizenship: USA
Post Office Address: 216 Jefferson Drive
Durham, North Carolina 27712

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DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. WT-11

As a below named inventor, I hereby declare that:

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(check one)

[] is attached hereto.
[X] was filed on October 6, 2000 as
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 (if applicable)

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Prior Foreign Application(s)

Priority
Claimed

<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[] Yes	[] No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[] Yes	[] No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[] Yes	[] No